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IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANTS: Swiler, et al

SERIAL NO.: Unknown

GROUP NO.

FILED: March 13, 2001

EXAMINER:

FOR: ~~Long Working Distance Interference Microscope~~
METHOD AND TOOL FOR NETWORK VULNERABILITY ANALYSIS

Assistant Commissioner for Patents
Washington, D.C. 20231

March 13, 2001



INFORMATION DISCLOSURE STATEMENT

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.


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2. Form PTO - 1449 (Modified).

3. The person making this statement is the attorney or agent who signs below on the basis of the information supplied by the inventor(s) and the information in the attorney's or agent's file.

Dated: 8/13/01

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Information Disclosure Statement
Swiler, et al
SD6528/S93789
Page 2

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U. S. Postal Service on the date shown below as Express Mail No. **EL027382229US** in an envelope addressed to the: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

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Mary Loukota
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Form PTO-1449 (SNL-Modified) (2-91)
 List of Patents and Publications
 for Applicant's
 Information Disclosure Statement
 (use several sheets if necessary)

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 Serial Number:

APPLICANT: Swiler, et al

GROUP: FILING DATE: 03/13/01



REFERENCE DESIGNATION

Ex'r Init	Document No.	Date	U.S. Patent Documents Name	Sub Class	Class	File Date
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Document No.	Date	Foreign Patent Documents Name	Sub Class	Class	Translation Yes	No
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

- |AA L. P. Swiler and C. Phillips, "*A Graph-Based System for Network-Vulnerability Analysis*", A paper presented at the New Security Paradigms Workshop '98, Charlottesville, VA, September 22-25, 1998.

- |AB L. P. Swiler, C. Phillips, T. Gaylor, "*Risk-Based Characterization of Network Vulnerability*", A Conference Presentation at Society for Risk Analysis Annual Meeting in Washington, DC, Dec. 7-10, 1997.

- |AC L. P. Swiler, C. Phillips, T. Gaylor, "*A Graph-Based Network-Vulnerability Analysis System*", A Conference Paper presented at the 1998 IEEE Symposium on Security and Privacy in Oakland, CA, May 3-6, 1998.

- |AD M. Dacier, Y. Deswarte, M. Kaaniche, "*Quantitative Assessment of Operational Security: Models and Tools*", LAAS Research Report 96493, May 1996.

- |AE S. F. Bush, "*Network Vulnerability Analysis Tool Precip*", Bush: Information Warfare Strategy and Control Analysis, March 29, 1999, Draft.

- |AF L. P. Swiler, C. Phillips, T. Gaylor, "*A Graph-Based Network-Vulnerability Analysis System*", Sandia National Laboratories Sandia Report SAND97-3010/1, January 1998.

- |AG R. T. Marsh, Chairman, "*Critical Foundations, Protecting America's Infrastructures*", The Report of the President's Commission on Critical Infrastructure Protection, October 1997.

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- |AI B. V. Cherkassky, A. V. Goldberg, T. Radzik, "*Shortest Paths Algorithms: Theory and Experimental Evaluation*," August 3, 1993.

- |AJ D. Naor and Douglas Brutlag, "*On Suboptimal Alignments of Biological Sequences*," No Date.

|AK Cormen, Leisterson, Rivest, "*Elementary Graph Algorithms*", Chapter 23, pp.485 – 493.

Examiner:

Date Considered: